

AUDITS COMPLETED AND REPORTS ISSUED

Final Reports Issued

The table below shows audit reports finalised since the last report to this committee in December 2019. In all cases the actions have been agreed with management, and will be followed up by internal audit when the due date is reached.

Audit	Opinion	Agreed actions			Work done / issues identified
		P1	P2	P3	
Hot topic – communications: protecting brand and reputation	Strong	0	0	0	This was a high-level review of the Council's communication and brand protection arrangements. It found that there are robust processes in place.
Project and contract management – Snow Centre and Southlands demolition	Strong	0	0	0	A review of compliance with the Council's project and contract management frameworks. It found that compliance was strong with this project.
Section 117 (follow-up)	Strong	0	0	0	A follow-up of six actions from a previous report with a Cause for Concern opinion. It was found that all the agreed actions had been successfully implemented.
Berwick Hills Primary School	Good	0	2	1	A routine audit of financial, operational and governance procedures at the school. Processes were found to be operating well although some issues and areas of improvement were identified.
Events management	Good	0	1	2	The audit found that events management is generally well administered. Some issues were identified in relation to available guidance, training and terms of reference for the Safety Advisory Group (SAG).

Audit	Opinion	Agreed actions			Work done / issues identified
		P1	P2	P3	
Highways inspection management	Moderate	0	7	4	The audit found that the highways inspection process was in-line with relevant regulations. However, there is a requirement to improve the systems in place to monitor compliance, performance, risk management and to consider how the inspection regime impacts upon the level of insurance claims.
Recruitment and retention	Moderate	0	4	1	The audit found an acceptable control environment was in place but a number of improvements could be made. These related to staff training, compliance with procedures and the monitoring of retention payments.
School crossing patrols	Moderate	0	4	0	The audit found that the Council's school crossing patrol service was operating effectively but some areas for improvement were identified. These included the need to document objective and performance indicators for the service and the application of guidelines for the required level of coverage.

Remaining audits to be completed

Hot topic – digitalisation and automation
Hot topic – sustainability
Hot Topic – Risk governance and controls
Commissioning
Land & Property Disposals
Accounts receivable
Pensions Admin & Investments
Inclusion Strategy
Park End Primary School
Town Hall
Anti-Social Behaviour Management
Reablement Service
Purchasing Cards
Car Parking
Appointeeships
Social care and emergency payments
Special Educational Needs & Disability Value for Money
Investment Strategy/Capital programme

Remaining audits to be cancelled

Contract management
Children's Services financial management
Integrated Transport Unit
Safeguarding adults processes
Main accounting/bank reconciliation
Handling of Media & Information Requests (including Freedom of Information)
Accounts payable

Council tax and business rates
Housing benefits
Payroll, travel and expenses
Project Management
Housing Delivery Vehicle
Budgetary planning and control/MTFP
Data Quality
Benefits and Council Tax Support Scheme
Data Quality & Performance management (Children's Services)
Commercial Property Portfolio Management
Public health joint service